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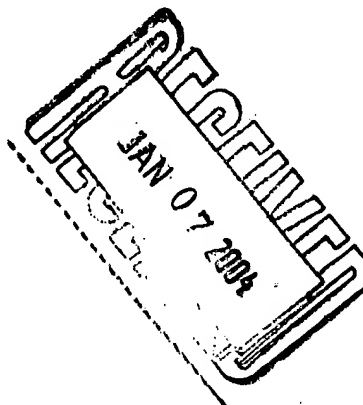
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- Information Disclosure Statement, Form 1449 and Cited References;
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Rhonda Dunn

Rhonda Dunn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Sperschneider, et al.)

Examiner: Unknown

Serial No. 09/869,401)

Art Unit: 2131

Filed: 9/5/2001)

December 30, 2003

For: Method and Devices for Coding or Decoding)
An Audio Signal or Bit Stream)

TRANSMITTAL FORM

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Commissioner of Patents
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Sir:

The following documents are being transmitted:

- Certificate of Mailing (1 sheet);
- Information Disclosure Statement, 1449 form, and cited references; and
- Return Postcard

Applicant believes that there is no fee due with this submission. However, the Commissioner is authorized to charge any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SCHO0115). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Michael A. Glenn
Michael A. Glenn
Reg. No. 30,176

Customer No. 22862



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Sperschneider, et al.

Docket No.: SCHO0115

Serial No. : 09/869,401

Art Unit: 2131

Filed: 9/5/2001

Examiner: Unassigned

Title: Method and Devices for Coding or Decoding an Audio Signal or Bit Stream

December 30, 2003

INFORMATION DISCLOSURE STATEMENT

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Technology Center 2100

Commissioner for Patents
Mail Stop IDS
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

This Information Disclosure Statement is submitted:

- (X) under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- () under 37 CFR 1.97(c) together with either a:
 - () Certification under 37 CFR 1.97(e), or
 - () a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- () under 37 CFR 1.97(d) together with a:
 - () Certification under 37 CFR 1.97(e), and
 - () a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - () a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

(X) The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 07-1445 (Order No. SCHO0115). A copy of this sheet is enclosed for accounting purposes.

(X) Applicant(s) submit herewith Form PTO 1449 -- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.25.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

Respectfully Submitted,



Michael Glenn
Attorney For Applicant
Reg. No. 30,176

Customer No. 22862

Form 1449 (Modified)

Information Disclosure
Statement By Applicant

Atty. Docket No.: SCHO0115

Serial No.: 09/869,401

Applicant: Sperschneider, et al.

Examiner: Unassigned

Filing Date: Herewith

Group: 2131



U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation	
							Yes	No
	A	06-290551	10/18/1994	JP	G11B	15/66	x	

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	B	Watanabe, Hajime; <u>New International Standard of Multichannel Stereo Audio Coding</u> ; NHK Technical Information; NHK Technology Center; September 1, 1997, No. 92, pages 20-27.
	C	Hosaka, Sanae; Toshio MIKI, 3-2 New Development of MPEG-4 Audio, Proceedings of Video Information Media Conference, April 1999, Vol. 53, No. 4, pages 492-493.

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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